

# **Checking Equivalence for Partial Implementations**

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# Checking Equivalence for Partial Implementations

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## Abstract

*We consider the problem of checking whether a partial implementation can (still) be extended to a complete design which is equivalent to a given full specification.*

*Several algorithms trading off accuracy and computational resources are presented: Starting with a simple 0,1,X-based simulation, which allows approximate solutions, but is not able to find all errors in the partial implementation, we consider more and more exact methods finally covering all errors detectable in the partial implementation. The exact algorithm reports no error if and only if the current partial implementation conforms to the specification, i.e. it can be extended to a full implementation which is equivalent to the specification.*

*We give a series of experimental results demonstrating the effectiveness and feasibility of the methods presented.*

## 1 Introduction

Verification, i.e. the check whether a circuit implementation fulfills its specification, is a crucial task in VLSI CAD. Growing interest in universities and industry has led to new results and significant advances concerning topics like property checking, state space traversal and combinational equivalence checking [7, 9, 19, 15].

For the purpose of this paper combinational equivalence checking is of particular interest. Here, the task is to check whether the Boolean functions corresponding to the specification and the implementation are the same. Besides functional validation by the application of test patterns, mainly two approaches are used to perform the equivalence check: One possibility is to translate implementation and specification into one Boolean formula which is satisfiable if and only if implementation and specification realize the same Boolean function [23, 17, 12]. As an alternative implementation and specification can be transformed into a canonical form such that the equivalence check reduces to a check whether the canonical representations of implementation and specification are the same. BDDs[4] and Word-level Decision Diagrams like \*BMDs[6], HDDs[8] or  $\kappa$ \*BMDs[10] are popular choices for such canonical forms.

In this paper we address the problem of *Black Box Equivalence Checking*, which occurs when the specification is known, but only parts of the implementation are finished or known. (For an example see Figures 1(a), 1(b).) *Black Box Equivalence Checking* enables the use of verification techniques in early stages of the design. Design errors can be already detected when only a partial implementation is at hand – e.g. due to a distribution of the implementation task to several groups of designers. Parts of the implementation, which are not yet finished, are combined into *Black Boxes*. If the implementation differs from the specification *for all possible substitutions of the Black Boxes*, a design error is found in the current partial implementation, i.e. to detect an error in the current partial implementation it is necessary to find an assignment of zeros and ones to the primary inputs, which produces erroneous values at the outputs *independently from the final implementation of the Black Boxes*.

Another application of *Black Box Equivalence Checking* is the abstraction from “difficult parts” of an implementation, which would cause a large peak size in memory consumption during the construction of a canonical form for the implementation. These “difficult parts” of the design can be put into a Black Box and Black Box Equivalence Checking is performed. An exact statement about the correctness of the full implementation is not possible, but it is still possible to find errors in the partial implementation given to the Black Box Equivalence Checker.

*Black Box Equivalence Checking* can also be used to verify assumptions concerning the location of errors in implementations,

which do not fulfill their specifications: If there is some assumption on the location of errors (produced by an automatic error diagnosis tool or found by hand), then these regions of the design are cut off and put into Black Boxes. If Black Box Equivalence Checking gives the information that no error can be found in the design containing Black Boxes, we can conclude that the assumptions on the error location were correct, otherwise we know that there must be errors also in other regions of the design.

The present paper deals with algorithms for equivalence checking of partial implementations under the assumption that a combinational circuit is given as specification and also all implementations and Black Boxes are of combinational nature. First methods to handle this problem have been proposed in [14, 13]. While these papers provide algorithms to find errors, it is not clear which errors and how many of the potential errors are detected. In this paper we present a thorough analysis of the problem leading to several algorithms to attack the Black Box Equivalence Checking problem. For the time being, our algorithms rely on symbolic simulation [5] by using BDDs. An implementation using SAT-engines [18] to solve the corresponding Boolean formula seems feasible, but is not the focus of the current paper. Our algorithms need different amounts of resources (space and time) and differ from their accurateness: They range from a simple algorithm using symbolic simulation for an approximation of the solution to an exact solution of the problem. Thereby the methods given in [14, 13] are classified too. Approximate solutions are not able to find all errors in the partial implementation, but they are correct in the sense that they do not report an error if there is still a possibility to implement the Black Boxes leading to a correct overall implementation. However, if we solve the Black Box Equivalence Checking approximatively, the information, that no error can be found, can be due to the approximative character of the approach and does not necessarily imply that there is an implementation of the Black Boxes leading to a correct overall implementation. E.g. when Black Box Equivalence Checking is used to verify assumptions on the location of design errors, it cannot be guaranteed that the information, that no error can be found, implies that the error location is confined to the Black Boxes (since this information can be due to the approximative character of the approach). We performed several experiments, which showed that improving the accuracy of the algorithms indeed leads to a significant improvement of the error detection capabilities (paid with an increase of computational resources).

The paper is structured as follows: In Section 2 we give some preliminaries. Several algorithms for the Black Box Equivalence Checking problem are presented in Section 3. In Section 4 the different approaches are compared for numerous partial implementations of benchmark circuits. The paper ends with some concluding remarks and directions for further research in Section 5.

## 2 Preliminaries

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be a Boolean function with  $n$  inputs. As usual, for a constant  $b \in \{0, 1\}$  and an input variable  $x_i$  ( $1 \leq i \leq n$ )  $f|_{x_i=b}(x_1, \dots, x_n) = f(x_1, \dots, x_{i-1}, b, x_{i+1}, \dots, x_n)$  denotes the *cofactor* of  $f$  with respect to  $x_i = b$ . Instead of  $f|_{x_i=0}$  and  $f|_{x_i=1}$  we also write  $f_{\bar{x}_i}$  and  $f_{x_i}$ , respectively.

The *cofactor* of  $f$  with respect to a set of variables and constants is defined inductively:

$$f|_{x_{i_1}=b_1, \dots, x_{i_r}=b_r} = (f|_{x_{i_1}=b_1, \dots, x_{i_{r-1}}=b_{r-1}})|_{x_{i_r}=b_r}.$$

A cofactor  $f|_{x_1=b_1, \dots, x_n=b_n}$  with respect to all input variables is called a *complete* cofactor.

Boolean functions can be represented by BDDs [16, 2, 20]. In the restricted form of ROBDDs they even provide canonical representations for Boolean functions and they allow efficient manipulations [4]. ROBDDs can be used to check equivalence of Boolean functions by a simple check for equality. Since we work only with ROBDDs in the following we briefly call them BDDs.

Given a circuit representation of a Boolean function, a BDD for this Boolean function can be computed by *symbolic simulation* [5]. At the beginning of the symbolic simulation each input of the circuit is associated with a unique BDD variable. Then the BDD representations of the functions computed by the gates of the circuit are computed in topological order starting with the inputs. The BDD for the function of a gate can be computed using BDD operations [4, 3], when the BDDs for the functions of all its predecessor gates are already computed.

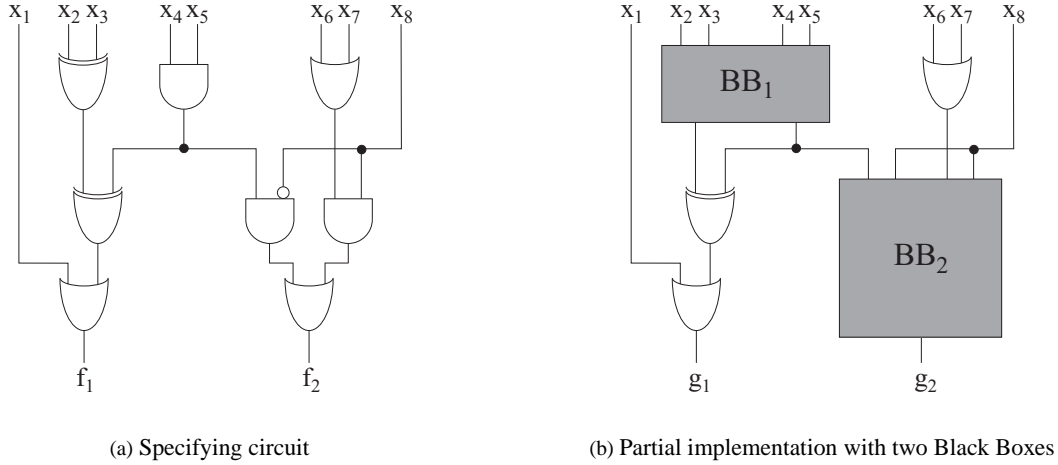


Figure 1: Specification and partial implementation.

### 3 Equivalence checking and partial implementations

In this section we provide several algorithms to handle the Black Box Equivalence Checking. We start with a simple symbolic simulation with respect to the  $0,1,X$  logic (Sec. 3.1). Then we successively increase the exactness (and the complexity) of the algorithm leading to a *local check* (Sec. 3.2.1), an *output exact check* (Sec. 3.2.2) and an *input exact check* (Sec. 3.2.3).

In particular, in Section 3.2.3 we give for the first time an *exact* criterion to decide for a given partial implementation and a specification whether the partial implementation is correct or not. Unlike previous approaches [14, 13] we can guarantee that there is really an extension of the partial implementation to a correct complete implementation, if the criterion of Section 3.2.3 reports no error (and of course, vice versa, there is no extension of the partial implementation to a complete implementation, if it does report an error).

As a running example for the demonstration of our algorithms we use the specification given in Figure 1(a). Figure 1(b) shows a partial implementation containing two Black Boxes. Clearly, after a suitable implementation of the two Black Boxes the final implementation fulfills its specification.

#### 3.1 Symbolic $Z$ -simulation

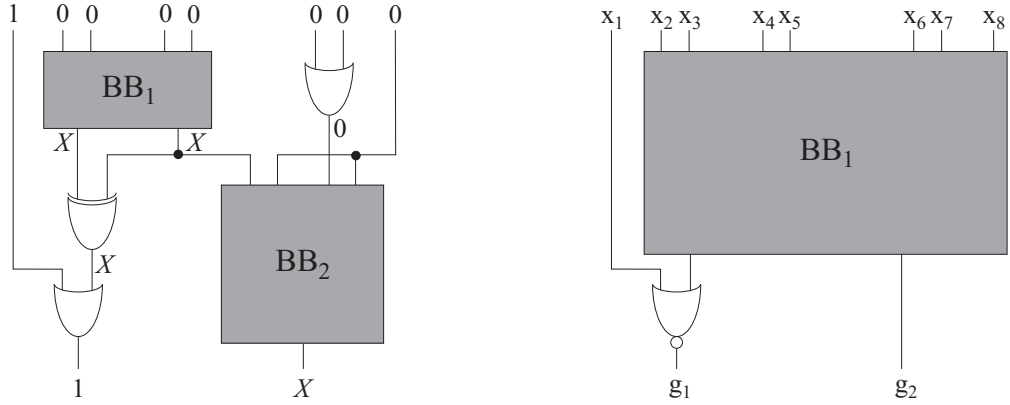
A first algorithm for checking partial implementations is based on the usual  $0,1,X$  simulation, which is well-known in the area of testing [1].

To evaluate a partial implementation for some input vector a new symbol  $X$  different from  $0$  and  $1$  is introduced. The value  $X$  means an “unknown” value due to the unknown functionality of the Black Boxes. To simulate a partial implementation with  $n$  primary inputs for an input vector  $(\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n$  we assign the unknown value  $X$  to all outputs of the Black Boxes. If all values for the inputs of a gate are in  $\{0, 1\}$ , then the output of the gate is computed according to the gate function as usual. If some inputs of a gate are set to  $X$ , the output is equal to  $X$  if and only if there are two different replacements of the  $X$  values at the inputs by  $0$ 's and  $1$ 's, which lead to different outputs of the gate.

**Example 3.1** For a two-input  $and_2$  gate, a two-input  $or_2$  gate and an inverter  $inv$  this leads to the following rules:

$$and_2(\epsilon_1, \epsilon_2) = \begin{cases} 0, & \text{if } \epsilon_1 = 0 \text{ or } \epsilon_2 = 0 \\ 1, & \text{if } \epsilon_1 = \epsilon_2 = 1 \\ X, & \text{otherwise} \end{cases} \quad (1)$$

$$or_2(\epsilon_1, \epsilon_2) = \begin{cases} 1, & \text{if } \epsilon_1 = 1 \text{ or } \epsilon_2 = 1 \\ 0, & \text{if } \epsilon_1 = \epsilon_2 = 0 \\ X, & \text{otherwise} \end{cases} \quad (2)$$



(a) Evaluation wrt. input vector  $(1, 0, 0, 0, 0, 0, 0, 0)$

(b) Partial implementation with a detectable error

Figure 2:  $0,1,X$  simulation for partial implementations

$$inv(\epsilon) = \begin{cases} 0, & \text{if } \epsilon = 1 \\ 1, & \text{if } \epsilon = 0 \\ X, & \text{if } \epsilon = X \end{cases} \quad (3)$$

As an example Figure 2(a) shows the evaluation of the partial implementation of Figure 1(b) with respect to input vector  $(1, 0, 0, 0, 0, 0, 0, 0)$ . Note that the first output of the partial implementation is 1 independently from the functionality of the Black Boxes.

We can take advantage of this simulation using 0, 1 and  $X$  to detect errors in partial implementations. If the evaluation of the partial implementation results in a value 0 (1) for some output, this means that the output value is 0 (1) independently from the functionality of the Black Boxes. If on the other hand the specification produces 1 (0) for the same input vector, then we have found an error in the partial implementation.

Figure 2(b) shows such a situation: When we compare the partial implementation to the specification of Figure 1(a) applying input vector  $(1, 0, 0, 0, 0, 0, 0, 0)$ , we see that the first output of the (partial) implementation is 0 whereas it is 1 for the specification. Generalizing the usual notion of a distinguishing vector for designs without Black Boxes to designs containing Black Boxes we can say that  $(1, 0, 0, 0, 0, 0, 0, 0)$  is a *distinguishing vector* for the specification in Figure 1(a) and the partial implementation in Figure 2(b). Of course, only vectors which produce 0 or 1 (not  $X$ ) at outputs of the partial implementation can play a role as distinguishing vectors.

Since we do not want to simulate specification and implementation for all  $2^n$  input vectors one after the other to find distinguishing vectors, we make use of “symbolic simulation”. This leads to our first method for Black Box equivalence checking, which is similar to [14]. In contrast to [14] we do not use a two-bit-encoding of 0, 1 and  $X$  leading to a duplication of the signals of the circuit, but we use an additional variable  $Z$  to model the new value  $X$ . For an output  $i$  of a partial implementation our symbolic simulation computes a BDD for a function  $g_i$  with  $n + 1$  inputs  $x_1, \dots, x_n, Z$  and

$$g_i|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = \begin{cases} 1, & \text{if the } (0,1,X) \text{ simulation with input } (\epsilon_1, \dots, \epsilon_n) \text{ produces } 1 \\ 0, & \text{if the } (0,1,X) \text{ simulation with input } (\epsilon_1, \dots, \epsilon_n) \text{ produces } 0 \\ Z, & \text{if the } (0,1,X) \text{ simulation with input } (\epsilon_1, \dots, \epsilon_n) \text{ produces } X \end{cases} \quad (4)$$

To compute BDDs for the functions  $g_i$  by symbolic simulation the inputs of the circuit are associated with unique BDD variables as in a conventional symbolic simulation. All output signals of Black Boxes are associated with the new variable  $Z$ . Now BDDs for the functions computed by the gates of the circuit are built in topological order treating the Black Box outputs (associated with variable  $Z$ ) as inputs of the circuit. The gates of the circuit can be processed in a manner similar to a conventional symbolic simulation. Since all types of gates can be expressed using two-input *and*<sub>2</sub> gates, two-input

$or_2$  gates and  $inv$  gates, we can assume w.l.o.g. that the gates have types  $and_2$ ,  $or_2$  or  $inv$ . When we process an  $and_2$  ( $or_2$ ) gate, we combine the BDDs for the two predecessor functions by a BDD  $AND$  ( $OR$ ) operation as in the conventional symbolic simulation. For an  $inv$  gate we perform a  $NOT$  operation on the BDD of the predecessor function, now followed by a  $compose$  operation (see e.g. [4]) which composes  $\bar{Z}$  for  $Z$  (written as  $g|_{Z \leftarrow \bar{Z}}$  for a composition of  $\bar{Z}$  for  $Z$  in  $g$ ).

The fact that the final BDD obtained by this modified symbolic simulation fulfills property (4) follows from the observation that the initial BDDs for the primary inputs and for the Black Box outputs fulfill property (4) and from the following observations 3.1 and 3.2.

**Observation 3.1** *If the functions  $r$  and  $l$  computed for the predecessor gates of an  $and_2$  ( $or_2$ ) gate fulfill property (4), then  $r \cdot l$  ( $r + l$ ) fulfills property (4).*

**Proof:** The observation for  $and_2$  gates follows from equation (1) and from

$$(r \cdot l)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} \cdot l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = \begin{cases} 0, & \text{if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 0 \text{ or } l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 0 \\ 1, & \text{if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1 \\ Z, & \text{if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = Z, l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1 \text{ or} \\ & r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1, l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = Z \text{ or} \\ & r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = Z, l|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = Z \end{cases}$$

The observation for  $or_2$  gates follows in an analogous manner. □

**Observation 3.2** *If the function  $r$  computed for the predecessor gate of an  $inv$  gate fulfills property (4), then  $\bar{r}|_{Z \leftarrow \bar{Z}}$  fulfills property (4).*

**Proof:** The observation follows from equation (3) and from

$$\begin{aligned} (\bar{r}|_{Z \leftarrow \bar{Z}})|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} &= \\ &= (\bar{r}|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n})|_{Z \leftarrow \bar{Z}} \\ &= (\overline{r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n}})|_{Z \leftarrow \bar{Z}} \\ &= \begin{cases} 0 & , \text{ if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1 \\ 1 & , \text{ if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 0 \\ \bar{Z} = Z & , \text{ if } r|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = Z \end{cases} \end{aligned}$$

□

We call the procedure described above “symbolic  $Z$ -simulation”. After we have obtained functions  $g_i$  for all outputs of the partial implementation by symbolic  $Z$ -simulation and functions  $f_i$  for all outputs of the specification by a conventional symbolic simulation, the check whether there is a distinguishing vector between specification and implementation is based on the following lemma, whose correctness follows from the definitions and basic boolean manipulations.

**Lemma 3.1 ( $Z$ -simulation)** *There is no input vector  $(\epsilon_1, \dots, \epsilon_n)$  with  $g_i|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1$  and  $f_i(\epsilon_1, \dots, \epsilon_n) = 0$  iff*

$$(g_i|_{Z=0} \rightarrow f_i) = 1$$

*and there is no input vector  $(\epsilon_1, \dots, \epsilon_n)$  with  $g_i|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 0$  and  $f_i(\epsilon_1, \dots, \epsilon_n) = 1$  iff*

$$(\bar{g}_i|_{Z=1} \rightarrow \bar{f}_i) = 1.$$

### 3.2 Symbolic $Z_i$ -simulation

A disadvantage of symbolic  $Z$ -simulation lies in the fact that not all errors, which are present in a partial implementation, can be found by the procedure described above.

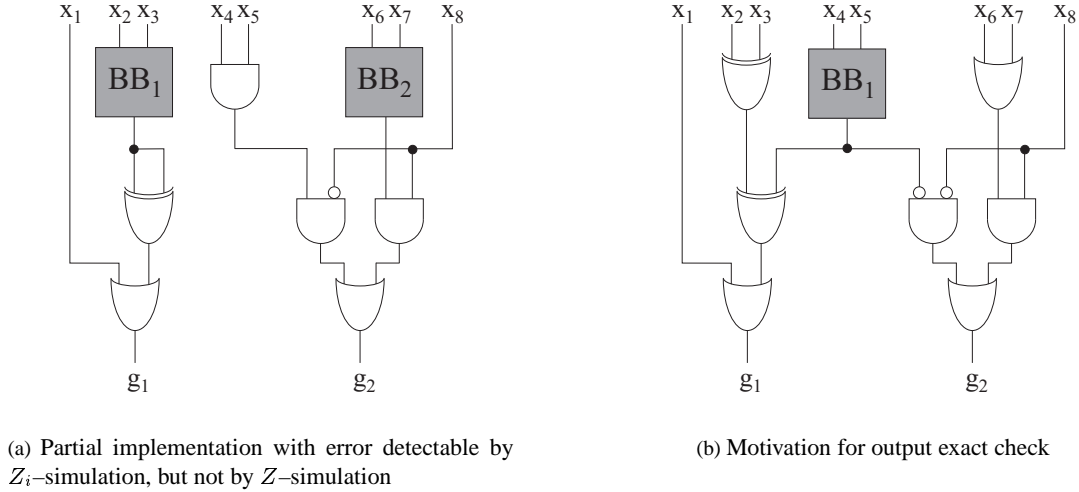


Figure 3: Motivation for local check and output exact check.

Figure 3(a) shows an example for such a situation. The partial implementation of Figure 3(a) does not fulfill the specification of Figure 1(a), i.e. there is no implementation for the Black Boxes which leads to a correct overall implementation. However the approach of the previous section always computes  $X$  at the output of the *exor*<sub>2</sub> gate, since both inputs of the *exor*<sub>2</sub> gate are  $X$ . Therefore the first primary output is  $X$ , if  $x_1 = 0$ , and 1, if  $x_1 = 1$ . Since the first output of the specification is 1 as well, if  $x_1 = 1$ , no error can be detected at the first output. Moreover it is easy to see that the partial implementation of the second output is correct (replace  $BB_2$  by an *or*<sub>2</sub> gate). So the method of the previous section cannot detect an error in the partial implementation.

If we have a closer look at the partial implementation, we can see, that the first output does not depend on the output of Black Box  $BB_1$ : The output of the *exor*<sub>2</sub> gate whose inputs are connected to the output of  $BB_1$  is 0 *independently from the output of  $BB_1$* . Therefore input vector  $(0, 0, 0, 1, 1, 0, 0, 0)$  leads to output  $(0, 1)$  which is different from the output  $(1, 1)$  of the specification.

The reason why the error could not be detected by  $Z$ -simulation lies in the fact that the values  $X$  at the inputs of the *exor*<sub>2</sub> gate are not accurately processed: The simple  $X$ -propagation does not take into account that the  $X$ -information comes from the same output of the Black Box  $BB_1$  (resulting in value 0 at the output).

To consider the origin of  $X$ -informations it is not enough to introduce one variable  $Z$  for all Black Box outputs. Instead of that we introduce *different* variables  $Z_i$  for each Black Box output  $i$ . After the inputs of the circuit have been associated with unique BDD variables a (conventional) symbolic simulation is performed. The result for primary output  $j$  of the circuit is a function  $g_j$  which depends on the primary input variables  $x_1, \dots, x_n$  and the  $l$  variables  $Z_1, \dots, Z_l$  for the  $l$  outputs of Black Boxes.

**Example 3.2** Symbolic simulation with output variables  $Z_1$  for  $BB_1$  and  $Z_2$  for  $BB_2$  in Figure 3(a) results in  $g_1 = x_1$  for output 1 and  $g_2 = \overline{x_8} \cdot (x_4 \cdot x_5) + x_8 \cdot Z_2$  for output 2.

### 3.2.1 Local check

As in the case of  $Z$ -simulation we consider *complete* cofactors of implementation and specification with respect to all primary input variables<sup>1</sup>. If a complete cofactor of some output function of the partial implementation is 0 (1), this means that the output value is 0 (1) independently from the functionality of the Black Boxes. If for the same output function of the specification this cofactor is 1 (0), then we have found an error in the partial implementation. The only difference of this method compared to  $Z$ -simulation is the fact that the effect of the unknown values at the outputs of Black Boxes is evaluated

<sup>1</sup>Here we call a cofactor complete, if it is a cofactor with respect to all *primary* input variables.

more accurately.

A check whether there is such a distinguishing vector for an output  $j$  of partial implementation and specification can be done according to the following lemma. The check according to the lemma is called “local check”, since the check is done for each output separately.

**Lemma 3.2 (local check)** *Let  $g_j$  be the function of output  $j$  after symbolic  $Z_i$ -simulation for a partial implementation with primary inputs  $x_1, \dots, x_n$  and  $l$  outputs of Black Boxes with corresponding variables  $Z_1, \dots, Z_l$ . Let  $f_j$  be output  $j$  of a specification with primary inputs  $x_1, \dots, x_n$ .*

*There is no input vector  $(\epsilon_1, \dots, \epsilon_n)$  with  $g_j|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1$  and  $f_j(\epsilon_1, \dots, \epsilon_n) = 0$  iff*

$$((\forall Z_1 \dots \forall Z_l g_j) \rightarrow f_j) = 1$$

*and there is no input vector  $(\epsilon_1, \dots, \epsilon_n)$  with  $g_j|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 0$  and  $f_j(\epsilon_1, \dots, \epsilon_n) = 1$  iff*

$$((\forall Z_1 \dots \forall Z_l \overline{g_j}) \rightarrow \overline{f_j}) = 1.$$

Again, the correctness of the lemma follows from definitions and basic boolean manipulations:

**Proof:** We prove only the first part of the lemma, the second part can be proved in an analogous manner.

“ $\implies$ ”: Suppose that  $((\forall Z_1 \dots \forall Z_l g_j) \rightarrow f_j) \neq 1$ , i.e. there is  $(\epsilon_1, \dots, \epsilon_n)$  with  $((\forall Z_1 \dots \forall Z_l g_j) \rightarrow f_j)(\epsilon_1, \dots, \epsilon_n) = 0$ .

Then

$$(\forall Z_1 \dots \forall Z_l g_j)(\epsilon_1, \dots, \epsilon_n) = 1$$

and

$$f_j(\epsilon_1, \dots, \epsilon_n) = 0.$$

Since  $(\forall Z_1 \dots \forall Z_l g_j)(\epsilon_1, \dots, \epsilon_n) = 1$  implies that for all assignments  $(\delta_1, \dots, \delta_l)$  to the variables  $Z_1, \dots, Z_l$

$$g_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l) = 1,$$

we have  $g_j|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1$ , which proves the if- part of the statement.

“ $\impliedby$ ”: Suppose that  $((\forall Z_1 \dots \forall Z_l g_j) \rightarrow f_j) = 1$ . Then we have to consider two cases for an arbitrary  $(\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n$ :

Case 1:  $(\forall Z_1 \dots \forall Z_l g_j)(\epsilon_1, \dots, \epsilon_n) = 0$ .

Then there is an assignment  $(\delta_1, \dots, \delta_l)$  to the variables  $Z_1, \dots, Z_l$  with  $g_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l) = 0$  and thus  $g_j|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} \neq 1$ .

Case 2:  $(\forall Z_1 \dots \forall Z_l g_j)(\epsilon_1, \dots, \epsilon_n) = 1$ .

Then we can conclude  $f_j(\epsilon_1, \dots, \epsilon_n) = 1$ .

$g_j|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} = 1$  and  $f_j(\epsilon_1, \dots, \epsilon_n) = 0$  is trivially false.

□

### 3.2.2 Output exact check

The local check of the previous section based on  $Z_i$ -simulation is more exact than  $Z$ -simulation (see Fig. 3(a)). However implications between different outputs are not taken into account. We obtain an even more accurate check, if we use a more “global” viewpoint.

This is illustrated by Figure 3(b). For the first output the only possibility to fulfill the specification of Figure 1(a) is to replace  $BB_1$  by the function  $x_4 \cdot x_5$ . However for the second output the only possibility to fulfill the specification is to replace  $BB_1$  by  $\overline{x_4} \cdot \overline{x_5}$ . This implies that the partial implementation of Figure 3(b) is incorrect. In spite of that, the error cannot be detected by the “local check” of the previous section, since it is done for each output separately.



To detect errors of this type we have to compute “local conditions” for each output, which guarantee correctness for the single outputs, and then, we have to combine the local conditions to check, if these local conditions can be fulfilled at the same time for all outputs.

The local conditions are computed based on the following considerations: To obtain a correct implementation, for each primary output  $j$  and each assignment  $(\epsilon_1, \dots, \epsilon_n)$  to the primary inputs an assignment  $(\delta_1, \dots, \delta_l)$  to the Black Box outputs has to be chosen such that

$$g_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l) \quad \text{and} \quad f_j(\epsilon_1, \dots, \epsilon_n)$$

are identical, i.e. such that

$$[(g_j)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} \equiv (f_j)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n}](\delta_1, \dots, \delta_l) = 1.$$

The relation between input assignments  $(\epsilon_1, \dots, \epsilon_n)$  and assignments  $(\delta_1, \dots, \delta_l)$  to the outputs of Black Boxes, which are necessary to fulfill the specification, can be expressed by the characteristic function

$$cond_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l) = \bigvee_{(\epsilon_1, \dots, \epsilon_n) \in \{0,1\}^n} x_1^{\epsilon_1} \cdot \dots \cdot x_n^{\epsilon_n} \cdot [(g_j)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n} \equiv (f_j)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n}].$$

The characteristic function  $cond_j$  equals 1 for argument  $(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l)$ , if and only if  $g_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l)$  and  $f_j(\epsilon_1, \dots, \epsilon_n)$  are identical, i.e. if and only if an assignment of  $(\delta_1, \dots, \delta_l)$  to the Black Box outputs for input  $(\epsilon_1, \dots, \epsilon_n)$  leads to a correct output of the implementation for this input  $(\epsilon_1, \dots, \epsilon_n)$ .

The expression for  $cond_j$  can be rewritten as

$$cond_j = \bigvee_{(\epsilon_1, \dots, \epsilon_n) \in \{0,1\}^n} x_1^{\epsilon_1} \cdot \dots \cdot x_n^{\epsilon_n} \cdot [(g_j \equiv f_j)|_{x_1=\epsilon_1, \dots, x_n=\epsilon_n}]$$

and thus it holds that

$$cond_j = (g_j \equiv f_j)$$

and  $cond_j$  can be computed using one BDD operation for  $g_j$  and  $f_j$ .

For a correct partial implementation all conditions  $cond_1, \dots, cond_m$  have to be true. If there is an input assignment  $(\epsilon_1, \dots, \epsilon_n)$  such that for all assignments  $(\delta_1, \dots, \delta_l)$  to the Black Box outputs at least one condition  $cond_j$  is false, then it is clear that the partial implementation cannot be used to obtain a correct final implementation. This leads us to a new, more accurate check, which we call “output exact”.

**Lemma 3.3 (output exact check)** *If*

$$\exists x_1 \dots \exists x_n \forall Z_1 \dots \forall Z_l \bigvee_{j=1}^m \overline{cond_j} = 1$$

*then the partial implementation does not fulfill its specification.*

**Example 3.3** *Consider the partial implementation of Figure 3(b) and the specification of Figure 1(a). For input (0, 0, 0, 1, 1, 0, 0, 0), output 1 of the implementation equals output 1 of the specification only if the Black Box output is 1 for this input. This implies  $cond_1(0, 0, 0, 1, 1, 0, 0, 0, \delta_1) = 1$  only if  $\delta_1 = 1$ . However, for input (0, 0, 0, 1, 1, 0, 0, 0) output 2 of the implementation equals output 2 only if the Black Box output is 0 for this input. This implies  $cond_2(0, 0, 0, 1, 1, 0, 0, 0, \delta_1) = 1$  only if  $\delta_1 = 0$ . There is no assignment to  $\delta_1$ , such that both  $cond_1(0, 0, 0, 1, 1, 0, 0, 0, \delta_1)$  and  $cond_2(0, 0, 0, 1, 1, 0, 0, 0, \delta_1)$  are equal to 1 and therefore the “output exact” check reports an error.*

Note that our “output exact” check reports an error in exactly the same cases as the check of [13]. However it is computed in a different way and does not need a representation of the overall circuit as a Boolean relation.

It is also easy to see that there is no error in the partial implementation (i.e. we can replace the Black Boxes to obtain a correct final implementation), if our check reports no error and *we are allowed to use all primary inputs as inputs of the Black Boxes*. The check reports no error iff

$$\forall x_1 \dots \forall x_n \exists Z_1 \dots \exists Z_l \bigwedge_{j=1}^m cond_j = 1,$$

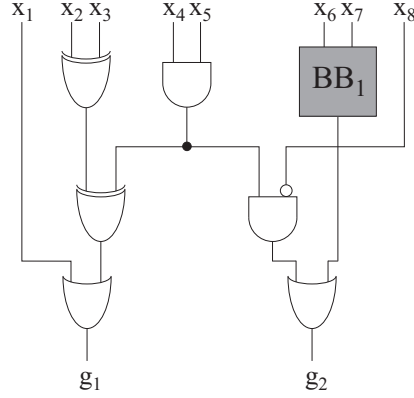


Figure 4: Motivation for input exact check

i.e. iff for each assignment  $(\epsilon_1, \dots, \epsilon_n)$  to the primary inputs there exists an assignment  $(\delta_1, \dots, \delta_l)$  to the Black Box outputs, such the conditions  $cond_j$  for all outputs  $j$  are true, which means that  $g_j(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l)$  and  $f_j(\epsilon_1, \dots, \epsilon_n)$  are identical for all  $1 \leq j \leq m$ . Thus we can choose these values  $\delta_1, \dots, \delta_l$  to define the function values for Black Box outputs  $1, \dots, l$  under input  $(\epsilon_1, \dots, \epsilon_n)$ .

### 3.2.3 Input exact check

The output exact check is able to find all errors which are already present in the partial implementation only if we assume that all primary inputs are also inputs of the Black Boxes. But this is not a realistic assumption. If we have fixed sets of input signals for the Black Boxes (which may be different from all primary inputs), it is possible that the output exact check does not find all errors.

Figure 4 shows such a case. It shows a partial implementation (for the specification of Figure 1(a)) with one Black Box  $BB_1$ . If the Black Box is replaced by  $x_8 \cdot (x_6 + x_7)$  implementation and specification are equivalent. However the inputs of the Black Box are only  $x_6$  and  $x_7$ .

- Output 2 of the specification is 0 for  $x_4 = x_5 = 0, x_6 = x_7 = 1$  and  $x_8 = 0$ . Therefore  $BB_1$  has to be 0 under this input, since output 2 of the implementation is 1, if the output of  $BB_1$  is 1.
- Output 2 of the specification is 1 for  $x_4 = x_5 = 0, x_6 = x_7 = 1$  and  $x_8 = 1$ . Therefore  $BB_1$  has to be 1 under this input, since output 2 of the implementation would be 0 otherwise.

We can conclude that there is no correct implementation for  $BB_1$  which does not depend on input  $x_8$  and so the partial implementation is incorrect.

Now we have to define a check which also reflects this problem. For this check we use (among others) the condition

$$cond = \bigwedge_{j=1}^m cond_j$$

of the section before.  $cond$  can be interpreted as the characteristic function of a Boolean relation between assignments  $(\epsilon_1, \dots, \epsilon_n)$  to the primary input variables and assignments  $(\delta_1, \dots, \delta_l)$  to the outputs of the Black Boxes:

$$cond(\epsilon_1, \dots, \epsilon_n, \delta_1, \dots, \delta_l) = 1$$

if and only if  $(\delta_1, \dots, \delta_l)$  is a “legal assignment” to the outputs of the Black Boxes for primary input vector  $(\epsilon_1, \dots, \epsilon_n)$ , i.e. if and only if all output values of the partial implementation with  $(\epsilon_1, \dots, \epsilon_n)$  assigned to the primary inputs and  $(\delta_1, \dots, \delta_l)$

assigned to the Black Box outputs are identical to the corresponding output values of the specification for assignment  $(\epsilon_1, \dots, \epsilon_n)$  to the primary inputs.

Now we have to take into account that the inputs of Black Boxes can be internal signals of the partial implementation and not all primary inputs are connected to the Black Box inputs. In the following we assume that we have  $b$  Black Boxes  $BB_1$  to  $BB_b$  which can have several outputs and inputs. The input signals of Black Box  $BB_j$  are connected to variables  $i_{j,1}, \dots, i_{j,l_j}$  and the output signals are connected to variables  $o_{j,1}, \dots, o_{j,p_j}$  ( $\bigcup_{j=1}^b \{o_{j,1}, \dots, o_{j,p_j}\} = \{Z_1, \dots, Z_l\}$ ). To simplify the notations we abbreviate  $i_{j,1}, \dots, i_{j,l_j}$  by  $I_j$ ,  $o_{j,1}, \dots, o_{j,p_j}$  by  $O_j$  and the primary input variables  $x_1, \dots, x_n$  by  $X$ . Moreover  $\forall I_j$  means  $\forall i_{j,1} \dots \forall i_{j,l_j}$  and  $\forall O_j$  means  $\forall o_{j,1} \dots \forall o_{j,p_j}$  (accordingly for  $\exists$ ).

We assume that the Black Boxes  $BB_1$  to  $BB_b$  are topologically ordered, i.e.  $BB_1$  is the first Black Box in topological order,  $BB_b$  the last Black Box. Consider the Boolean functions which compute the assignments of the Black Box inputs. For Black Box  $BB_j$  there are  $l_j$  such functions  $h_{1_j}^j, \dots, h_{l_j}^j$ . Because of the topological order of the Black Boxes,  $h_{1_j}^j, \dots, h_{l_j}^j$  can depend (at most) on primary input variables  $X$  and the output variables  $O_1, \dots, O_{j-1}$  of  $BB_1, \dots, BB_{j-1}$ . The characteristic function of the Boolean relation for  $h_{1_j}^j, \dots, h_{l_j}^j$  is computed by

$$H_j(X, O_1, \dots, O_{j-1}, I_j) = \bigwedge_{k=1}^{l_j} (i_{j,k} \equiv h_k^j(X, O_1, \dots, O_{j-1})).$$

Based on  $cond(X, O_1, \dots, O_b)$ , which is a Boolean relation between primary input assignments and output assignments of Black Boxes, we compute the characteristic function of a Boolean relation  $cond'(I_1, \dots, I_b, O_1, \dots, O_b)$  between input assignments of Black Boxes and output assignments of Black Boxes.  $cond'$  is defined as

$$cond'(I_1, \dots, I_b, O_1, \dots, O_b) = \forall X \left( \overline{H_1(X, I_1)} + \dots + \overline{H_b(X, O_1, \dots, O_{b-1}, I_b)} + cond(X, O_1, \dots, O_b) \right).$$

$cond'$  computes 1 for an assignment  $(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b)$  to the Black Box inputs and outputs iff for all assignments  $\xi$  to the primary inputs

- $\xi$  and  $(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b)$  lead to a signal assignment, which is not consistent with the circuit of the partial implementation (this is checked by the part  $\overline{H_1(X, I_1)} + \dots + \overline{H_b(X, O_1, \dots, O_{b-1}, I_b)}$  of the formula above)
- or
- $(\omega_1, \dots, \omega_b)$  is a “legal output” of the Black Boxes under input  $\xi$ , i.e.  $\xi$  and  $(\omega_1, \dots, \omega_b)$  result in correct values at the primary outputs of the partial implementation (this is checked by the part  $cond(X, O_1, \dots, O_b)$  of the formula above).

I.e. for  $cond'(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b)$  to be 1,  $(\omega_1, \dots, \omega_b)$  has to be a “legal output” of the Black Boxes under input  $\xi$ , whenever  $\xi$  and  $(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b)$  lead to a signal assignment, which is consistent with the circuit of the partial implementation.

It can be shown that there is a replacement of the Black Boxes  $BB_1, \dots, BB_b$  by totally specified Boolean functions with input variables  $I_1, \dots, I_b$ , respectively, leading to a correct overall implementation if and only if there is an appropriate decomposition of  $cond'$  into  $b$  Boolean relations:

**Theorem 3.1 (input exact check)** *Let  $f_1, \dots, f_m$  be Boolean functions with input variables  $x_1, \dots, x_n$ , which is used as a specification for a partial implementation with input variables  $x_1, \dots, x_n$  and  $b$  Black Boxes  $BB_1, \dots, BB_b$ . The input variables of  $BB_j$  are  $I_j$ , the output variables  $O_j$ , the characteristic function  $cond'(I_1, \dots, I_b, O_1, \dots, O_b)$  is defined as shown above. Then there is a replacement of  $BB_1, \dots, BB_b$  by completely specified Boolean functions with input variables  $I_1, \dots, I_b$ , respectively, leading to a correct overall implementation if and only if  $cond'$  can be decomposed into  $\chi_j(I_j, O_j)$ , such that*

$$\forall I_j \exists O_j \chi_j(I_j, O_j) = 1 \text{ and} \tag{5}$$

$$cond' \geq \bigwedge_{j=1}^b \chi_j. \tag{6}$$

The proof of Theorem 3.1 can be found in Appendix A.

Theorem 3.1 gives us a necessary and sufficient condition for the correctness of the partial implementation.

However, we can show using a non-trivial reduction from 3SAT that for a number  $b \geq 2$  of Black Boxes the check of Theorem 3.1 is *NP*-complete, even if the characteristic function for  $cond'$  in Theorem 3.1 is given as a function table, which is already exponential in the number of inputs and outputs of the Black Boxes.

More precisely, the check of Theorem 3.1 solves the problem **Relation Decomposition (RD)** which is defined as follows:

**Given:** The *function table* of the characteristic function  $\chi(I_1, \dots, I_b, O_1, \dots, O_b)$  of a Boolean relation.  $\chi$  has input variables  $\bigcup_{j=1}^b (\{I_j\} \cup \{O_j\})$ , where for all  $1 \leq j \leq b$   $\{I_j\}$  and  $\{O_j\}$  are finite, non-empty sets of input variables, which are pairwise disjoint.

**Find:** Is it possible to decompose  $\chi$  into  $\chi_j(I_j, O_j)$  ( $1 \leq j \leq b$ ), such that

$$\forall I_j \exists O_j \chi_j(I_j, O_j) = 1 \quad \text{and} \quad \chi \geq \bigwedge_{j=1}^b \chi_j.$$

An instance of **RD** is called solvable, if there is a decomposition with the required properties.

**Theorem 3.2** *The problem RD is NP-complete.*

**Proof:** See Appendix B. □

Because of this complexity result we use for practical application a modified check which is

- exact for  $b = 1$  (one Black Box) and
- an approximation for  $b \geq 2$  (more than one Black Box).

Our new check, which reflects that the inputs of the Black Boxes are not necessarily equal to all primary input signals, reports *no error*, if

$$\forall I_1 \exists O_1 \forall I_2 \exists O_2 \dots \forall I_b \exists O_b \quad cond' = 1 \tag{7}$$

The following theorem holds:

**Theorem 3.3** *The check of equation (7) is exact (in the sense that it finds all errors in the partial implementation), if  $b = 1$ , i.e. if there is only one Black Box in the partial implementation.*

**Proof:** The proof follows directly from the fact, that for  $b = 1$  the checks of equation (7) and of Theorem 3.1 are the same, if we choose  $\chi_i := cond'$  (the truth of part (6) is then trivial). □

In the general case, when more than one Black Box is present, the check of equation 7 is not exact, i.e. it is not equivalent to the check of Theorem 3.1<sup>2</sup>, but we can formally prove that it is at least as good as our best check so far (see Section 3.2.2). In Section 4 we present experiments to demonstrate that it is *really better* also for examples with several Black Boxes.

It remains to prove that the check of equation 7 is at least as good as the “output exact” check from Section 3.2.2, also for instances with more than one Black Box:

**Theorem 3.4** *Let  $cond(X, O_1, \dots, O_b)$  and  $cond'(I_1, \dots, I_b, O_1, \dots, O_b)$  be as defined above.*

$$\forall I_1 \exists O_1 \forall I_2 \exists O_2 \dots \forall I_b \exists O_b \quad cond' = 1 \quad \implies \quad \forall X \exists O_1, \dots, O_b \quad cond = 1$$

**Proof:** See Appendix C. □

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<sup>2</sup>If it would be equivalent to the check of Theorem 3.1, it could solve **RD** in polynomial time, when it was applied to a function table representation of  $cond'$ .

circuit	in	out	#nodes spec.	detected errors					#nodes implementation			peak during check				run time				
				<i>r.p.</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>	<i>Z</i>	<i>loc., oe</i>	<i>ie</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>	<i>r.p.</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>
alu4	14	8	389	90%	95%	95%	96%	96%	458	455	490	86	88	96	159	1.17	0.06	0.06	0.06	0.06
apex7	49	37	314	92%	97%	97%	98%	98%	256	258	263	38	41	132	132	0.41	0.08	0.08	0.08	0.08
C17	5	2	8	84%	88%	88%	88%	96%	6	6	8	5	6	6	7	0.02	0.01	0.01	0.01	0.01
C432	36	7	1211	50%	62%	65%	68%	80%	796	3705	3725	123	257	5779	38411	3.22	0.13	1.77	0.42	0.99
C499	41	32	25866	26%	59%	59%	69%	80%	4377	12700	12672	487	496	28562	39142	6.29	4.46	5.54	7.19	7.76
C880	60	26	4870	78%	87%	91%	92%	92%	2956	5600	5553	247	658	105919	116561	3.84	0.75	1.62	37.94	49.16
comp	32	3	137	27%	63%	65%	67%	90%	82	90	111	39	41	105	124	1.57	0.04	0.04	0.04	0.04
term1	34	10	81	92%	95%	95%	95%	95%	97	97	108	31	32	34	69	1.44	0.07	0.07	0.07	0.07
<i>average</i>				63%	81%	82%	84%	91%												

Table 1: 10% of the gates included in one Black Box

circuit	in	out	#nodes spec.	detected errors					#nodes implementation			peak during check				run time				
				<i>r.p.</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>	<i>Z</i>	<i>loc., oe</i>	<i>ie</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>	<i>r.p.</i>	<i>Z</i>	<i>loc.</i>	<i>oe</i>	<i>ie</i>
alu4	14	8	389	75%	81%	81%	84%	90%	393	68005	52619	71	84	821289	800302	2.26	0.04	462.34	341.04	129.35
apex7	49	37	314	92%	97%	97%	98%	98%	284	282	287	38	42	128	127	0.41	0.06	0.06	0.06	0.06
C17	5	2	8	59%	88%	88%	88%	98%	6	6	8	4	6	8	8	0.07	0.01	0.01	0.01	0.01
C432	36	7	1211	47%	60%	65%	70%	80%	579	7186	7034	84	390	12094	603833	3.03	0.40	3.67	0.94	29.27
C499	41	32	25866	13%	38%	40%	62%	66%	3125	14495	14530	298	330	39552	1224345	6.39	2.99	3.61	4.92	129.98
C880	60	26	4870	78%	87%	91%	92%	92%	2941	5557	5527	246	661	108383	121482	3.84	0.47	0.95	25.14	32.08
comp	32	3	137	29%	64%	66%	68%	88%	79	91	109	36	38	95	135	1.51	0.03	0.03	0.03	0.04
term1	34	10	81	92%	96%	96%	96%	96%	99	102	106	30	32	34	44	1.39	0.04	0.05	0.05	0.05
<i>average</i>				61%	76%	78%	82%	89%												

Table 2: 40% of the gates included in one Black Box

## 4 Experimental results

To evaluate the different equivalence checks for partial implementations we implemented the described procedures using *CUDD* 2.3.0 [22] as the underlying BDD package. Dynamic reordering [21] was activated during all experiments. The experiments were performed on a PentiumIII PC with 550 MHz, 1 GB memory, running Linux 6.3.

For our experiments we generated partial implementations from benchmark circuits: For each benchmark circuit a certain fraction of the gates was included in Black Boxes. In a first experiment we included 10% of the gates in one Black Box (with several outputs). All reported results are an average on 5 different random selections of Black Boxes.

Then we inserted errors into the partial implementations: We randomly selected a gate, which did not belong to a Black Box, and inserted an error. The error type was also selected randomly between several choices: We added/removed an inverter for an input or output signal of the gate, changed the type of the gate ( $and_2$  to  $or_2$  or  $or_2$  to  $and_2$ ) or removed an input line from an  $and$  or  $or$  gate. Then we applied our check to detect errors in the partial implementation. Note that an error is reported only if there is no implementation for the Black Boxes such that the resulting circuit fulfills its specification. (The original benchmark circuit is used as the specification.) Each experiment was repeated for 100 error insertions.

In Table 1 we give the results for the first experiment, when 10% of the gates were included in one Black Box. In column 1 the name of the benchmark is given, in columns 2 and 3 the number of inputs and outputs of the benchmark are given. Column 4 shows the number of BDD nodes needed to represent the specifying benchmark circuit. In columns 5–9 the error detection ratio for 100 error insertions (per black box selection) using different equivalence checks is reported. For comparison Column 5 (“*r.p.*”) shows the result of a 0, 1, *X*-based non symbolic simulation with 5000 random patterns. Column 6 (“*Z*”) shows the error detection ratio for symbolic *Z*-simulation, column 7 (“*loc.*”) for symbolic *Z<sub>i</sub>*-simulation with local equivalence check (see Section 3.2.1), column 8 (“*oe*”) for symbolic *Z<sub>i</sub>*-simulation with the “output exact” check of Section 3.2.2 and column 9 (“*ie*”) for symbolic *Z<sub>i</sub>*-simulation with the “input exact” check of Section 3.2.3. Note that in this experiment the check of Section 3.2.3 is exact, since there is only one Black Box; i.e., in all cases, when this check does not report any error, there really exists an implementation, which can compensate the error insertion. The following columns indicate the resources needed to achieve the results. Columns 10–12 give the numbers of BDD nodes which are needed to represent the implementation. Columns 13–16 show the maximum number of additional BDD nodes, which are needed to perform the four different checks which are based on symbolic simulation. And finally, columns 17–21 show the run times in CPU seconds

circuit	in	out	#nodes spec.	detected errors					#nodes implementation				peak during check				run time				
				r.p.	Z	loc.	oe	ie	Z	loc., oe	ie	Z	loc.	oe	ie	r.p.	Z	loc.	oe	ie	
alu4	14	8	389	50%	92%	92%	94%	94%	346	372	548	83	85	103	419	4.93	0.08	0.08	0.08	0.10	
apex7	49	37	314	88%	96%	96%	98%	98%	235	232	249	28	37	220	720	0.53	0.10	0.09	0.11	0.12	
C17	5	2	8	84%	88%	88%	88%	96%	6	6	7	5	6	6	7	0.03	0.02	0.02	0.02	0.02	
C432	36	7	1211	34%	54%	66%	72%	87%	417	5675	6065	104	463	6577	28471	4.47	0.18	1.39	0.61	1.40	
C499	41	32	25866	20%	44%	46%	58%	75%	1858	8443	9246	199	207	190168	534687	6.75	3.23	4.40	41.82	68.52	
C880	60	26	4870	61%	75%	80%	82%	88%	1276	3851	4055	207	444	1249899	1521876	6.16	1.22	1.10	1140.11	1369.16	
comp	32	3	137	10%	43%	54%	57%	83%	46	89	125	29	35	170	209	2.10	0.04	0.04	0.04	0.05	
term1	34	10	81	74%	87%	88%	88%	92%	139	144	184	33	43	241	291037	2.76	0.04	0.15	0.15	8.48	
<i>average</i>				53%	72%	76%	80%	89%													

Table 3: 10% of the gates included in five Black Boxes

for the random pattern simulation and the four symbolic checks, respectively.

Note that the error detection ratios for symbolic  $Z$ -simulation are equal to the error detection ratios of approach [14]. Although our implementation differs (using  $Z$ -simulation instead of signal duplication and conventional symbolic simulation), errors are reported in the same cases. Similarly, the error detection ratios for the output exact check (column “oe”) are the same as in [13], although the implementation is different (our implementation does not need a representation of the overall circuit as a Boolean relation, e.g.).

As a first result we can notice that *the 0, 1, X-based simulation with 5000 random patterns cannot compete with the symbolic methods*. The detection ratios are considerably smaller than for symbolic  $Z$ -simulation (see columns 5 and 6) while the run times are larger (columns 17 and 18). For the other methods we can really observe an *improved error detection accuracy from method to method* (columns 6–9): With the exception of *term1*, which obviously is easy for Black Box Equivalence Checking, all other examples profit from a more sophisticated check in the sense that more, sometimes significantly more errors are detected. In particular, we observe, that *the application of the input exact check leads to a considerable improvement compared to the output exact check in many cases* (see e.g. *comp*, *C499*). The average numbers given in the last line of the tables underline our observations made before<sup>3</sup>.

The experiments also show that the resources needed to perform a check increase with its accuracy. Especially for the output and input exact check the improved accuracy has to be paid by an increased memory consumption and by larger run times. However memory consumption and run times remain in a reasonable range. The equivalence check needs at most a few seconds in the worst case.

In a second experiment we varied our method to generate partial implementations: the number of gates to be included in a Black Box was changed from 10% to 40%. The results are given in Table 2. We can observe that the improvement in accuracy for the more exact checks compared to the less exact checks is slightly larger in this scenario. E.g. for *C499* the input exact check beats  $Z$ -simulation in 28% of the cases compared to 21% in the first experiment. Memory consumption and run times stay about in the same range.

In a third experiment we varied the generation of partial implementations of our first experiment to obtain 5 different Black Boxes instead of one. Results are given in Table 3. Memory consumption and run times are about in the same range compared to the first two experiments with exception of circuit *C880* where time and memory consumption for output and input exact checks increase (about 22 minutes for the input exact check)<sup>4</sup>. However the comparison of error detection ratios shows an interesting result: *Although the input exact check in this case is not exact, the advantage of the input exact check compared to the other checks in this case is even larger* (compare e.g. the line giving the average values in the tables). This obviously demonstrates the power of our heuristics.

Taken together, the high number of error detections for all symbolic checks (even for simple  $Z$ -simulation) demonstrates the validity of the concept of checking partial implementations already at a stage of the design process where a significant portion of the design has still to be performed.

<sup>3</sup>Since in this case of one Black Box the input exact check is exact, an average of 91% detected errors means, that for the remaining 9% of the cases our circuit modification described above did not really insert an error into the partial implementation, i.e. an implementation for the Black Box can be found, such that the overall implementation fulfills its specification.

<sup>4</sup>This is due to a peak memory consumption during quantification in 3 out of 5 different random selections of Black Boxes.

## 5 Conclusions and future work

Experimental results showed that improving the accuracy of the algorithms for Black Box Equivalence Checking indeed leads to a significant improvement of the error detection capabilities. We have defined a series of different algorithms with increasing accuracy and increasing consumption of computational resources. This suggests to use these algorithms as a series of more and more exact methods to detect errors in partial implementations: first use 0, 1,  $X$ -based simulation with only a few random patterns, then symbolic  $Z$ -simulation,  $Z_i$ -simulation with local check, with output exact check and finally with input exact check.

In the future we plan to compare our BDD based implementation of the different checks to a version using SAT-engines. Another interesting question is how the methods can be extended to verify also sequential circuits containing Black Boxes.

## A Proof of Theorem 3.1

**Proof:**

‘ $\Leftarrow$ ’: We can assume w.l.o.g. that for all  $\iota_j \in \{0, 1\}^{l_j}$  there is *exactly* one  $\omega_j \in \{0, 1\}^{p_j}$  such that  $\chi_j(\iota_j, \omega_j) = 1$ . (If this is not the case we can choose  $\chi'_j$  with this property and conditions (5) and (6) are valid for  $\chi'_j$ :

$$\forall I_j \exists O_j \chi'_j(I_j, O_j) = 1 \text{ and } cond' \geq \bigwedge_{j=1}^n \chi_j \geq \bigwedge_{j=1}^n \chi'_j.$$

$\forall 1 \leq j \leq b$  we define the completely specified Boolean function  $bb_j : \{0, 1\}^{l_j} \rightarrow \{0, 1\}^{p_j}$  to replace  $BB_j$  as

$$bb_j(\iota_{j,1}, \dots, \iota_{j,l_j}) = (\omega_{j,1}, \dots, \omega_{j,p_j}) \quad :\Leftrightarrow \quad \chi_j(\iota_{j,1}, \dots, \iota_{j,l_j}, \omega_{j,1}, \dots, \omega_{j,p_j}) = 1 \\ (\forall (\iota_{j,1}, \dots, \iota_{j,l_j}) \in \{0, 1\}^{l_j}).$$

We prove that a replacement of all Black Boxes  $BB_j$  by  $bb_j$  leads to an overall circuit which fulfills the specification. Assume that this is false, i.e. there is an assignment  $\xi$  to the input variables for which the output of the partial implementation after replacement of the Black Boxes is incorrect.

For all  $1 \leq j \leq b$  let  $\iota_j \in \{0, 1\}^{l_j}$  be the input vector of Black Box  $BB_j$  (now replaced by  $bb_j$ !) and  $\omega_j \in \{0, 1\}^{p_j}$  the output vector, which is obtained by simulation, when this vector  $\xi$  is applied to the inputs.

Since the output for  $\xi$  is incorrect,

$$cond(\xi, \omega_1, \dots, \omega_b) = 0.$$

Since  $bb_j(\iota_j) = \omega_j$

$$\bigwedge_{j=1}^b \chi_j(\iota_j, \omega_j) = 1$$

and because of condition (6)

$$cond'(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b) = 1.$$

Since  $cond(\xi, \omega_1, \dots, \omega_b) = 0$ , but  $cond'(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b) = 1$  we can conclude from the definition of  $cond'$

$$H_1(\xi, \iota_1) = 0 \vee \dots \vee H_b(\xi, \omega_1, \dots, \omega_{b-1}, \iota_b) = 0.$$

But this would mean, that  $\xi, \iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b$  are an inconsistent assignment to the signals of the implementation which contradicts the fact that  $\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b$  were determined by simulation of the implementation with input  $\xi$ .

‘ $\Rightarrow$ ’: We assume that there is a replacement of  $BB_j$  by completely specified Boolean functions  $bb_j$ , which leads to a correct implementation, and we have to prove that  $cond'$  can be decomposed into characteristic functions  $\chi_j$  fulfilling conditions (5) and (6).

Define  $\forall (l_{j,1}, \dots, l_{j,l_j}) \in \{0, 1\}^{l_j}, (\omega_{j,1}, \dots, \omega_{j,p_j}) \in \{0, 1\}^{p_j}$

$$\chi_j(l_{j,1}, \dots, l_{j,l_j}, \omega_{j,1}, \dots, \omega_{j,p_j}) = 1 \iff bb_j(l_{j,1}, \dots, l_{j,l_j}) = (\omega_{j,1}, \dots, \omega_{j,p_j}).$$

Since  $bb_j$  is a completely specified Boolean function  $\chi_j$  is well defined and  $\forall I_j \exists O_j \chi_j(I_j, O_j) = 1$ .

It remains to prove that

$$\bigwedge_{j=1}^b \chi_j \leq cond'.$$

Let us assume that this is false, i.e. there is  $(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b)$  with

$$cond'(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b) = 0,$$

but

$$\chi_j(\iota_j, \omega_j) = 1 \forall 1 \leq j \leq b.$$

$cond'(\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b) = 0$  implies that there is  $\xi \in \{0, 1\}^n$  with

$$H_1(\xi, \iota_1) = 1 \wedge \dots \wedge H_b(\xi, \omega_b, \iota_b) = 1 \quad (*)$$

and

$$cond(\xi, \omega_1, \dots, \omega_b) = 0. (**)$$

$\chi_j(\iota_j, \omega_j) = 1$  implies

$$bb_j(\iota_j) = \omega_j. (***)$$

From (\*) and (\*\*\*) we can conclude that  $\iota_1, \dots, \iota_b, \omega_1, \dots, \omega_b$  are correct assignments to the Black Box inputs and outputs, which are obtained by simulation of the implementation under primary input  $\xi$ . Since the replacement of Black Boxes  $BB_j$  by  $bb_j$  leads to a correct implementation

$$cond(\xi, \omega_1, \dots, \omega_b) = 1$$

must be true, but this contradicts (\*\*), such that our assumption, that condition (6) is false, cannot be true.

□

## B Proof of Theorem 3.2

**Proof:** We can easily conclude that **RD** is in *NP* from the fact that after guessing of  $\chi_1, \dots, \chi_b$  it can be verified in polynomial time, if  $\chi_1, \dots, \chi_b$  fulfill the required conditions.

The proof, that **RP** is *NP*-hard, follows by a polynomial time transformation from the *NP*-complete problem 3SAT.

Let an instance  $I = (U, C)$  of 3SAT be given by  $U = \{u_0, \dots, u_{k-1}\}$  and  $C = \{c_0, \dots, c_{m-1}\}$ , where the elements of  $U$  are variables and the elements of  $C$  are clauses over the variable set  $U$  with three elements.

The proof proceeds in 2 steps:

In a first step we construct a graph  $G_I$  in polynomial time.  $G_I$  has a MAXIMUM INDEPENDENT SET (MIS) of size  $K_I$ , if and only if there is a satisfying assignment for  $I$  (see also [11]).

In a second step for this graph an instance of **RD** is constructed also in polynomial time which is solvable, if and only if there is a MIS of size  $K_I$  in  $G_I$ .

**Step I:** For each variable  $u_i \in U$   $G_I$  has a “truth assignment component”  $T_i = (V_i, E_i)$  with  $V_i = \{u_i^{[0]}, u_i^{[1]}\}$  and  $E_i = \{u_i^{[0]}, u_i^{[1]}\}$ . No INDEPENDENT SET (IS) contains both  $u_i^{[0]}$  and  $u_i^{[1]}$  (corresponding to the fact that  $u_i$  cannot be set both 0 and 1).



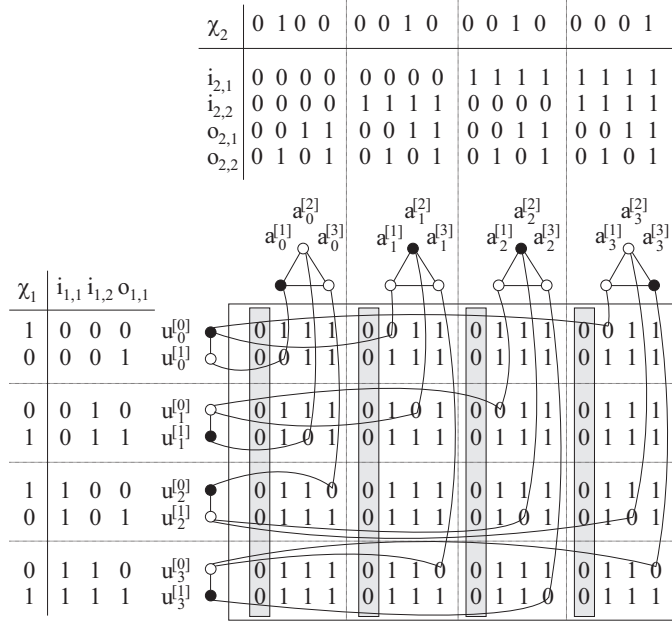


Figure 5: Illustration for steps I and II in the proof of Theorem 3.2.

For each clause  $c_j \in C$  there is a “satisfiability check component”  $S_j = (V'_j, E'_j)$ , which consists of three nodes, which form a clique:

$$V'_j = \{a_j^{[1]}, a_j^{[2]}, a_j^{[3]}\}$$

$$E'_j = \{\{a_j^{[1]}, a_j^{[2]}\}, \{a_j^{[1]}, a_j^{[3]}\}, \{a_j^{[2]}, a_j^{[3]}\}\}$$

Thus, each IS contains at most one of the three nodes of  $V'_j$ .

Additionally there are “communication edges”, which represent the actual information of the clause sets:

For each clause  $c_j \in C$  the communication edges connect nodes of the “satisfiability check component”  $S_j$  and nodes of sets  $V_i$ , which represent literals: Let  $c_j$  consist of three literals  $u_q^{\epsilon_q}, u_r^{\epsilon_r}$  and  $u_s^{\epsilon_s}$  ( $\epsilon_q, \epsilon_r, \epsilon_s \in \{0, 1\}$ ). Then the communication edges, which start from  $S_j$  are given by

$$E''_j = \{\{a_j^{[1]}, u_q^{\epsilon_q}\}, \{a_j^{[2]}, u_r^{\epsilon_r}\}, \{a_j^{[3]}, u_s^{\epsilon_s}\}\}.$$

The graph  $G_I = (V_I, E_I)$  is obtained by

$$V_I = \left( \bigcup_{i=0}^{k-1} V_i \right) \cup \left( \bigcup_{j=0}^{m-1} V'_j \right)$$

$$E_I = \left( \bigcup_{i=0}^{k-1} E_i \right) \cup \left( \bigcup_{j=0}^{m-1} E'_j \right) \cup \left( \bigcup_{j=0}^{m-1} E''_j \right)$$

The constant  $K_I$  is set to  $K_I = k + m$ .

In Figure 5 the construction of  $G_I$  is illustrated for the clause set

$$\{\{u_0, u_1, \overline{u_2}\}, \{\overline{u_0}, \overline{u_1}, \overline{u_3}\}, \{\overline{u_1}, u_2, u_3\}, \{\overline{u_0}, u_2, \overline{u_3}\}\}.$$

The “truth assignment components”  $T_0, \dots, T_3$  are given on the left hand side of the matrix (which is needed for step II) and the three-cliques  $S_0, \dots, S_3$  for the four clauses are given on top of the matrix. The subgraphs  $T_0, \dots, T_3$  and  $S_0, \dots, S_3$  are connected by “communication edges” according to the information given by the clauses.  $K_I$  is equal to 8. The clause set is satisfiable by the truth assignment  $t(u_0) = 1, t(u_1) = 0, t(u_2) = 1$  and  $t(u_3) = 0$  and  $G_I$  has an MIS of size  $K_I = 8$ . Nodes of the MIS are drawn in black.

It remains to prove that  $C$  is satisfiable, if and only if  $G_I$  has a MIS of size  $K_I$ .

$\implies$ : Suppose there is a IS  $V_{IS}$  of size  $K_I = k + m$ . There cannot be a larger IS, since both  $T_i$  and  $S_j$  are complete graphs, thus  $V_{IS}$  is a MIS.

Because of  $K_I = k + m$  for each  $T_i$  exactly one node belongs to  $V_{IS}$  and for each  $S_j$  exactly one node belongs to  $V_{IS}$ . The truth assignment  $t : U \rightarrow \{0, 1\}$ , which satisfies  $C$ , is easily defined by  $t(u_i) = 1$ , if and only if  $u_i^{[1]} \notin V_{IS}$ .

Consider a node  $v$  of  $S_j$ , which belongs to  $V_{IS}$  and the node  $u_q^{[\epsilon_q]}$  of  $T_i$ , which is connected to it, then it holds  $u_q^{[\epsilon_q]} \notin V_{IS}$  and thus  $t(u_q) = \epsilon_q$ . So  $c_j$  is true under truth assignment  $t$ . Since this consideration is true for all  $c_j \in C$ ,  $C$  is in fact satisfiable.

$\impliedby$ : Suppose  $C$  is satisfiable by a truth assignment  $t$ . We construct an IS  $V_{IS}$  of size  $K_I = k + m$  in the following way: For  $0 \leq i \leq k - 1$ : If  $t(u_i) = 1$ , then include  $u_i^{[0]}$  into  $V_{IS}$ , otherwise include  $u_i^{[1]}$  into  $V_{IS}$ . For each  $0 \leq j \leq m - 1$  choose a literal  $u_q^{\epsilon_q}$  of  $c_j$ , for which  $t(u_q) = \epsilon_q$ . Because of  $t(u_q) = \epsilon_q$   $u_q^{[\epsilon_q]}$  is in  $V_{IS}$  and  $u_q^{[\overline{\epsilon_q}]}$  not. Therefore the node  $v$  of  $S_j$ , which is connected to  $u_q^{[\epsilon_q]}$ , can be included into  $V_{IS}$ . In total we have  $|V_{IS}| = k + m$  and  $V_{IS}$  is an MIS.

**Step II:** Now based on  $G_I$  an instance of **RD** is constructed. W.l.o.g. it can be assumed, that the instance  $I$  of the 3SAT problem has  $k = 2^l$  variables and  $m = 2^p$  clauses. If this is not the case, it is possible to add during the construction of  $G_I$   $2^{\lceil \log(k) \rceil} - k$  new variables and  $2^{\lceil \log(m) \rceil} - m$  copies of  $S_{m-1}$  with communication edges to the same nodes as for  $S_{m-1}$ . The new instance has an MIS of size  $K'_I = 2^{\lceil \log(k) \rceil} + 2^{\lceil \log(m) \rceil}$ , if and only if the originating instance has a MIS of size  $k + m$ .

$\chi$  is constructed as follows:

$I_1$  consists of  $l$  input variables,  $O_1$  consists of one input variable.

$I_2$  consists of  $p$  input variables,  $O_2$  consists of two input variables.

$\chi$  depends on variables  $I_1, O_1, I_2, O_2$ .

Let  $\iota_1 \in \{0, 1\}^l, \omega_1 \in \{0, 1\}, \iota_2 \in \{0, 1\}^p, \omega_2 \in \{0, 1\}^2$ .

$$\chi(\iota_1, \omega_1, \iota_2, \omega_2) = \begin{cases} 0 & \text{if } \omega_2 = (0, 0). \\ 0 & \text{if } \{u_{int(\iota_1)}^{[\omega_1]}, a_{int(\iota_2)}^{[int(\omega_2)]}\} \in E_I. \\ 1 & \text{otherwise.} \end{cases}$$

Again step II is illustrated by Figure 5. The function table of function  $\chi$  in this example is given by a matrix containing entries 0 and 1. A communication edge, which is responsible for a zero in the table, is drawn in such a way that the zero lies on the corresponding edge. The remaining zeros for the assignment  $(0, 0)$  to  $(o_{2,1}, o_{2,2})$  are enclosed in gray shaded boxes. The function table for  $\chi_1$  is shown on the left hand side, the function table for  $\chi_2$  is shown on the top. If a matrix entry is 0, the function value of  $\chi_1$ , which is connected to it by a horizontal line, has to be 0 or the function value of  $\chi_2$ , which is connected to it by a vertical line, has to be 0, since  $\chi_1$  and  $\chi_2$  must fulfill  $\chi \geq \chi_1 \cdot \chi_2$ . To fulfill  $\forall I_1 \exists O_1 \chi_1 = 1$  and  $\forall I_2 \exists O_2 \chi_2 = 1$ , in each of the blocks shown in Figure 5  $\chi_1$  and  $\chi_2$  must have at least one entry 1. Note that in this example there is a suitable choice for  $\chi_1$  and  $\chi_2$  and the 1's correspond to nodes of the MIS drawn in black.

We have to prove, that the characteristic function  $\chi$  can be decomposed into  $\chi_1(i_1, o_1)$  and  $\chi_2(i_2, o_2)$  (with the required properties), if and only if  $G_I$  has a MIS of size  $K_I = 2^l + 2^p$ .

$\implies$ : Suppose there is a IS  $V_{IS}$  of size  $K_I = 2^l + 2^p$ . Now  $\chi_1$  and  $\chi_2$  with the required properties are constructed.

Let

$$\chi_1(\iota_1, \omega_1) = \begin{cases} 1 & \text{if } u_{int(\iota_1)}^{[\omega_1]} \in V_{IS}. \\ 0 & \text{otherwise.} \end{cases}$$

$$\chi_2(\iota_2, \omega_2) = \begin{cases} 0 & \text{if } \omega_2 = (0, 0) \\ 1 & \text{if } a_{int(\iota_2)}^{[int(\omega_2)]} \in V_{IS}. \\ 0 & \text{otherwise.} \end{cases}$$

To show that  $\chi \geq \chi_1 \cdot \chi_2$ , we have to prove, that for all  $\iota_1 \in \{0, 1\}^l, \omega_1 \in \{0, 1\}, \iota_2 \in \{0, 1\}^p, \omega_2 \in \{0, 1\}^2$

$$\chi(\iota_1, \omega_1, \iota_2, \omega_2) = 0 \implies \chi_1(\iota_1, \omega_1) = 0 \text{ or } \chi_2(\iota_2, \omega_2) = 0$$

We differentiate between the two cases for which  $\chi(\iota_1, \omega_1, \iota_2, \omega_2)$  can be 0:

Case 1:  $\omega_2 = (0, 0)$ .

This implies  $\chi_2(\iota_2, \omega_2) = 0$ .

Case 2:  $\{u_{int(\iota_1)}^{[\omega_1]}, a_{int(\iota_2)}^{[int(\omega_2)]}\} \in E_I$ .

This implies  $u_{int(\iota_1)}^{[\omega_1]} \notin V_{IS}$  or  $a_{int(\iota_2)}^{[int(\omega_2)]} \notin V_{IS}$ .

However  $u_{int(\iota_1)}^{[\omega_1]} \notin V_{IS}$  implies  $\chi_1(\iota_1, \omega_1) = 0$  and  $a_{int(\iota_2)}^{[int(\omega_2)]} \notin V_{IS}$  implies  $\chi_2(\iota_2, \omega_2) = 0$ .

$\forall I_1 \exists O_1 \chi_1(I_1, O_1) = 1$  is clear by definition of  $\chi_1$ : For each  $\iota_1 \in \{0, 1\}^l$  there has to be a  $\omega_1 \in \{0, 1\}$ , such that  $\chi_1(\iota_1, \omega_1) = 1$ . By definition there is exactly one such  $\omega_1$ : If  $u_{int(\iota_1)}^{[0]} \in V_{IS}$ , then choose  $\omega_1 = 0$ , if  $u_{int(\iota_1)}^{[1]} \in V_{IS}$ , then choose  $\omega_1 = 1$  and exactly one of these two possibilities must be true, since  $|V_{IS}| = K_I$ .

$\forall I_2 \exists O_2 \chi_2(I_2, O_2) = 1$  is shown similarly: For each  $\iota_2 \in \{0, 1\}^p$  there has to be  $\omega_2 \in \{0, 1\}^2$ , such that  $\chi_2(\iota_2, \omega_2) = 1$ .  $\omega_2$  is chosen in such a way, that  $a_{int(\iota_2)}^{[int(\omega_2)]} \in V_{IS}$ . The fact that there is (exactly one) such  $\omega_2$ , follows from  $|V_{IS}| = K_I$ :  $a_{int(\iota_2)}^{[1]} \in V_{IS}, a_{int(\iota_2)}^{[2]} \in V_{IS}$  or  $a_{int(\iota_2)}^{[3]} \in V_{IS}$ .

$\Leftarrow$ : Now we assume that  $\chi$  can be decomposed into  $\chi_1$  and  $\chi_2$  according to the conditions of **RP**.

We can define a set  $V_{IS}$  with  $|V_{IS}| = 2^l + 2^p$  and show that  $V_{IS}$  is an IS.

According to the conditions for  $\chi_1$ , for each  $\iota_1 \in \{0, 1\}^l$  there has to be (at least) one  $\omega_1 \in \{0, 1\}$ , such that  $\chi_1(\iota_1, \omega_1) = 1$ . For each  $\iota_1 \in \{0, 1\}^l$  select *exactly one* such  $\omega_1$  and include  $u_{int(\iota_1)}^{[\omega_1]}$  into  $V_{IS}$ .

In the same way, according to the conditions for  $\chi_2$ , for each  $\iota_2 \in \{0, 1\}^p$  there has to be (at least) one  $\omega_2 \in \{0, 1\}^2$ , such that  $\chi_2(\iota_2, \omega_2) = 1$ . It is easy to see, that for such an  $\omega$  it holds  $\omega_2 \neq (0, 0)$ :

If  $\chi_2(\iota_2, 0, 0) = 1$  would be true, then for *all*  $\iota_1 \in \{0, 1\}^l, \omega_1 \in \{0, 1\}$   $\chi_1(\iota_1, \omega_1) = 0$ , because  $\chi(\iota_1, \omega_1, \iota_2, 0, 0) = 0$  and  $\chi = \chi_1 \cdot \chi_2$ . However this would imply (again because of  $\chi = \chi_1 \cdot \chi_2$ ) that  $\chi$  is constant 0. From the definition of  $\chi$  it is easy to see, that this is not true.

Thus for each  $\iota_2 \in \{0, 1\}^p$  *exactly one*  $\omega_2 \in \{(0, 1), (1, 0), (1, 1)\}$  can be selected with  $\chi_2(\iota_2, \omega_2) = 1$  and  $a_{int(\iota_2)}^{[int(\omega_2)]}$  is included into  $V_{IS}$ .

We still have to show that  $V_{IS}$  as defined above is an IS.

We assume that there are two nodes  $v, w \in V_{IS}$ , such that  $\{v, w\} \in E_I$  and prove that this leads to a contradiction:

Case 1:  $v, w \in V_i$ , i.e.  $\{v, w\} = \{u_i^{[0]}, u_i^{[1]}\}$

Contradiction, since for each  $\iota_1 \in \{0, 1\}^l$  *exactly one*  $\omega_1$  was selected, such that  $u_{int(\iota_1)}^{[\omega_1]}$  was included into  $V_{IS}$ .

Case 2:  $v, w \in V'_j$ , i.e.  $\{v, w\} = \{a_j^{[r]}, a_j^{[s]}\}$  with  $r \neq s \in \{1, 2, 3\}$ .

Contradiction, since for each  $\iota_2 \in \{0, 1\}^p$  *exactly one*  $\omega_2 \in \{(0, 1), (1, 0), (1, 1)\}$  was selected, such that  $a_{int(\iota_2)}^{[int(\omega_2)]}$  was included into  $V_{IS}$ .

Case 3:  $\{v, w\} \in E_j''$ , i.e.  $\{v, w\} = \{a_j^{[r]}, u_q^{[s]}\}$  with  $r \in \{1, 2, 3\}$  and  $s \in \{0, 1\}$ .

Since  $v, w \in V_{IS}$ , we have  $\chi_1(bin(q), s) = 1$  and  $\chi_2(bin(j), bin(r)) = 1$ , and therefore  $\chi(bin(q), s, bin(j), bin(r)) = 1$ . However this is a contradiction to the definition of  $\chi$ , since  $\chi(bin(q), s, bin(j), bin(r)) = 0$  because of  $\{u_q^{[s]}, a_j^{[r]}\} \in E_I$ .

Thus it has been shown that  $V_{IS}$  is an IS.  $|V_{IS}| = 2^l + 2^p$  is clear from the definition of  $V_{IS}$ .

□

## C Proof of Theorem 3.4

**Proof:**

Let

$$cond''(X, I_1, \dots, I_b, O_1, \dots, O_b) = \overline{H_1(X, I_1)} + \dots + \overline{H_b(X, O_1, \dots, O_{b-1}, I_b)} + cond(X, O_1, \dots, O_b).$$

Then  $cond' = \forall X cond''$  and our assumption is

$$\forall I_1 \exists O_1 \forall I_2 \exists O_2 \dots \forall I_b \exists O_b \forall X cond'' = 1$$

It is not hard to see, that this is equivalent to the statement

$$\begin{aligned} & \text{“} \exists f_{O_1} : \{0, 1\}^{l_1} \rightarrow \{0, 1\}^{p_1}, \dots, f_{O_b} : \{0, 1\}^{\sum_{j=1}^b l_j} \rightarrow \{0, 1\}^{p_b}, \text{ such that} \\ & \forall I_1 \forall I_2 \dots \forall I_b \forall X cond''(X, I_1, \dots, I_b, f_{O_1}(I_1), \dots, f_{O_b}(I_1, \dots, I_b)) = 1'' \end{aligned}$$

Now we prove that for an arbitrary  $\xi \in \{0, 1\}^n$  there is  $\omega_1 \in \{0, 1\}^{p_1}, \dots, \omega_b \in \{0, 1\}^{p_b}$ , such that

$$cond(\xi, \omega_1, \dots, \omega_b) = 1.$$

To do so, we make use of the topological order of the Black Boxes:

Applying  $\xi$  to the primary inputs of the partial implementation we obtain an input assignment  $\iota_1 \in \{0, 1\}^{l_1}$  of  $BB_1$  with  $H_1(\xi, \iota_1) = 1$ .

Applying  $\xi$  to the primary inputs and  $f_{O_1}(\iota_1)$  to the output signals of Black Box  $BB_1$  we obtain an input assignment  $\iota_2 \in \{0, 1\}^{l_2}$  of  $BB_2$  with  $H_2(\xi, f_{O_1}(\iota_1), \iota_2) = 1$ .

Continuing the procedure we obtain  $\iota_3, \dots, \iota_{b-1}$  and finally  $\iota_b$  with  $H_b(\xi, f_{O_1}(\iota_1), \dots, f_{O_{b-1}}(\iota_1, \dots, \iota_{b-1}), \iota_b) = 1$ .

Using our assumption and the definition of  $cond''$  we conclude

$$cond(\xi, f_{O_1}(\iota_1), \dots, f_{O_b}(\iota_1, \dots, \iota_b)) = 1$$

and since  $\xi$  was arbitrarily chosen

$$\forall X \exists O_1, \dots, O_b cond = 1.$$

□

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